

STATE OF MAINE
Office of the Public Advocate



RFP# 202009146

Water Case Consultant for
Revenue Requirement Other than Rate of Return

RFP Coordinator	<i>All communication regarding this RFP <u>must</u> be made through the RFP Coordinator identified below.</i> <u>Name:</u> Nanette M. Ardry <u>Title:</u> Senior Counsel <u>Contact Information:</u> nanette.m.ardry@maine.gov
Submitted Questions Due	<i>All questions <u>must</u> be received by the RFP Coordinator identified above by:</i> <u>Date:</u> October 19, 2020 , no later than 11:59 p.m., local time
Proposal Submission	<i>Proposals <u>must</u> be received by the Division of Procurement Services by:</i> <u>Submission Deadline:</u> November 4, 2020 , no later than 11:59 p.m., local time. <i>Proposals <u>must</u> be submitted electronically to the following address:</i> <u>Electronic (email) Submission Address:</u> Proposals@maine.gov

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PUBLIC NOTICE

**State of Maine
The Office of the Public Advocate
RFP# 202009146**

Water Case Consultant for Revenue Requirement Other than Rate of Return

The State of Maine is seeking proposals for a consultant to assist the Office of the Public Advocate with litigating four (4) rate cases expected to be filed with the Maine Public Utilities Commission by the Maine Water Company (MWC) and, specifically analyzing MWC's revenue requirement related to cost of service (excluding the appropriate rate of return).

A copy of the RFP, as well as the Question & Answer Summary and all amendments related to this RFP, can be obtained at the following website:

<https://www.maine.gov/dafs/bbm/procurementservices/vendors/rfps>

Proposals must be submitted to the State of Maine Division of Procurement Services, via e-mail, at: Proposals@maine.gov. Proposal submissions must be received no later than 11:59 pm, local time, on November 4, 2020. Proposals will be opened the following business day. Proposals not submitted to the Division of Procurement Services' aforementioned email address by the aforementioned deadline will not be considered for contract award.

RFP TERMS/ACRONYMS with DEFINITIONS

The following terms and acronyms shall, as referenced in the RFP, shall have the meanings indicated below:

<u>Term/Acronym</u>	<u>Definition</u>
MPUC	Maine Public Utilities Commission
Office	Office of the Public Advocate
RFP	Request for Proposal
State	State of Maine
MWC	Maine Water Company

State of Maine – Office of the Public Advocate

RFP# 202009146

Water Case Consultant for Revenue Requirement Other than Rate of Return

PART I INTRODUCTION

A. Purpose and Background

The Office of the Public Advocate (Office) is seeking proposals to provide consulting services to assist with four (4) utility rate cases expected to be filed by Maine Water Company (MWC) as defined in this Request for Proposal (RFP) document. These services will include, but not be limited to, providing advice and assisting in litigation in proceedings on MWC's anticipated requests for increases to its rates attributable to revenue requirement as related to the cost of service. This RFP does not include assistance with determining the appropriate rate of return. This document provides instructions for submitting proposals, the procedure and criteria by which the awarded Bidder will be selected and the contractual terms which will govern the relationship between the State of Maine (State) and the awarded Bidder.

The Public Advocate, by statute, represents the interests of Maine utility ratepayers, with special emphasis on the interests of low-income customers, in proceedings before the Maine Public Utilities Commission (MPUC). Because the staff of the Office is composed primarily of attorneys, we rely on a consultant to provide expert advice and testimony on the matters described herein. This advice and testimony are critical to allowing the Office to effectively represent ratepayer interests in proceedings before the MPUC.

MWC is a subsidiary of Connecticut Water Service, Inc, which is itself a subsidiary of SJW Group, based in California. MWC operates eleven public water utility divisions in the State of Maine and through these divisions provides water to over 32,000 customers located in 21 towns throughout Maine. Although each division is treated as a separate utility for ratemaking purposes, with its own revenue requirement, each division typically uses a return on equity set for MWC on a company-wide basis. Pursuant to a settlement in [MPUC Docket No. 2018-00008](#), MWC must file rate cases for four (4) of its divisions before March 1, 2022.

B. General Provisions

1. From the time the RFP is issued until award notification is made, all contact with the State regarding the RFP must be made through the RFP Coordinator. No other person/ State employee is empowered to make binding statements regarding the RFP. Violation of this provision may lead to disqualification from the bidding process, at the State's discretion.
2. Issuance of the RFP does not commit the Office to issue an award or to pay expenses incurred by a Bidder in the preparation of a response to the RFP. This includes attendance at personal interviews or other meetings and software or system demonstrations, where applicable.
3. All proposals must adhere to the instructions and format requirements outlined in the RFP and all written supplements and amendments (such as the Summary of Questions and Answers), issued by the Office. Proposals are to follow the format and respond to all questions and instructions specified below in the "Proposal Submission Requirements" section of the RFP.
4. Bidders will take careful note that in evaluating a proposal submitted in response to

the RFP, the Office will consider materials provided in the proposal, information obtained through interviews/presentations (if any), and internal office information of previous contract history with the Bidder (if any). The Office also reserves the right to consider other reliable references and publicly available information in evaluating a Bidder's experience and capabilities.

5. The proposal must be signed by a person authorized to legally bind the Bidder and must contain a statement that the proposal and the pricing contained therein will remain valid and binding for a period of 180 days from the date and time of the bid opening.
6. The RFP and the awarded Bidder's proposal, including all appendices or attachments, will be the basis for the final contract, as determined by the Office.
7. Following announcement of an award decision, all submissions in response to this RFP will be public records, available for public inspection pursuant to the State of Maine Freedom of Access Act (FOAA) ([1 M.R.S. §§ 401](#) et seq.).
8. The Office, at its sole discretion, reserves the right to recognize and waive minor informalities and irregularities found in proposals received in response to the RFP.
9. All applicable laws, whether or not herein contained, are included by this reference. It is the Bidder's responsibility to determine the applicability and requirements of any such laws and to abide by them.

C. Eligibility to Submit Bids

All interested parties are invited to submit bids in response to this Request for Proposals.

D. Contract Term

The Office is seeking a cost-efficient proposal(s) to provide services, as defined in this RFP, for the anticipated contract period defined in the table below. Please note that the dates below are estimated and may be adjusted, as necessary, in order to comply with all procedural requirements associated with this RFP and the contracting process. The actual contract start date will be established by a completed and approved contract.

Contract Renewal: Following the initial term of the contract, the Office may opt to renew the contract twice, first for a term of two additional years and then for a term of one more additional year. Term and renewal periods, as shown in the table below, and subject to continued availability of funding and satisfactory performance.

The term of the anticipated contract, resulting from this RFP, is defined as follows:

Period	Start Date	End Date
Initial Period of Performance	December 15, 2020	June 30, 2022
Renewal Period #1	July 1, 2022	June 30, 2024
Renewal Period #2	July 1, 2024	June 30, 2025

E. Number of Awards

The Office anticipates making one (1) award as a result of this RFP process.

PART II SCOPE OF SERVICES TO BE PROVIDED

ISSUES/TASKS. The contractor(s) will be expected to provide the following services to be performed at the discretion of and with input from the Office. The contractor(s) will have responsibility for analyzing all pertinent data and presenting overall recommendations and analysis of the proper revenue requirement in all four (4) of the expected MWC rate cases.

A. SPECIFIC DESCRIPTION OF RESPONSIBILITIES. The general areas listed above shall be addressed by the contractor through performing any or all of the following specific responsibilities:

1. Thoroughly review and evaluate the testimony, filings and other material filed by MWC and assist the Office in analyzing revenue requirement as related to the cost of service (excluding the rate of return) and in identifying any additional issues that should be raised by the Office.
2. Assist the Office in conducting discovery of MWC or other intervening parties sufficient to make a complete analysis of MWC's proposals and the effect on customers. This assistance should include, without limitation, aid in the preparation of such written requests for information as may be necessary for a thorough analysis of the case.
3. Assist the Office in evaluating the responses to discovery and other information provided by MWC and other parties for the purpose of determining whether the case can be processed on the basis of the general areas identified above or whether the Office ought to raise additional issues.
4. Assist the Office in preparing proposed cross-examination of any expert witnesses and in conducting such examination, whether at a technical conference or at a MPUC hearing. From time to time, this may include travel to Hallowell, Maine. (The offices of the Maine Public Advocate and of the MPUC are near each other in Hallowell, Maine.)
5. Prepare, present direct testimony and submit to cross-examination on analysis of revenue requirement as to cost of providing service (excluding the rate of return) as well as any other issues identified as necessary to raise. This may include travel to Hallowell, Maine.
6. Assist the Office in responding to such discovery as MWC, MPUC Staff, or other parties may undertake of the Office and its consultants.
7. Review the direct testimony filed by MWC and other parties and prepare and present rebuttal and surrebuttal evidence on the general areas and any other issues identified above. This may require travel to Hallowell, Maine.
8. Analyze rebuttal testimony filed by MWC and other parties and prepare proposed cross-examination thereof, together with any needed discovery. Assist in the cross-examination of water utilities' rebuttal. From time to time, this may include travel to Hallowell, Maine.

9. In the course of performing these responsibilities, assist the Office in evaluating and responding to positions taken and evidence presented by any intervenors that may participate in the case.
10. Assist the Office in the preparation of briefs, reply briefs, oral arguments, and exceptions before the MPUC and its Hearing Examiners.
11. To the extent, and only to the extent, that MPUC practice permits, assist the Office in presenting to the MPUC such recommendations or technical adjustments as may be required in the drafting of the Examiner's Report and/or the MPUC's Decision.

B. MANNER OF PERFORMANCE OF THE WORK. In performing Tasks in PART II B. 1 through 11 above, the contractor will be expected at all times to:

1. Communicate with the Office on a schedule to be agreed on as to the progress of the work and the results of that work.
2. For the Office's review, prepare drafts of all written materials to be employed in discovery or litigation, sufficiently in advance of the time that they must be submitted to the MPUC to allow the Office to review the work, pose additional questions, or discuss issues or problems in greater detail.
3. Assist the Office in proposing reasonable schedules and deadlines to the MPUC for each stage of the proceedings, complete all work in accordance with such timetables or deadlines for the processing of the case as the MPUC may impose.

PART III KEY RFP EVENTS

A. Questions

1. **General Instructions:** It is the responsibility of all Bidders and other interested parties to examine the entire RFP and to seek clarification, in writing, if they do not understand any information or instructions.
 - a. Bidders and other interested parties must use **Appendix E – Submitted Questions Form** – for submission of questions. The form is to be submitted as a WORD document.
 - b. The Submitted Questions Form must be submitted, by e-mail, and received by the RFP Coordinator, identified on the cover page of the RFP, as soon as possible but no later than the date and time specified on the RFP cover page.
 - c. Submitted Questions must include the RFP Number and Title in the subject line of the e-mail. The Office assumes no liability for assuring accurate/complete/on time e-mail transmission and receipt.
2. **Question & Answer Summary:** Responses to all questions will be compiled in writing and posted on the following website no later than seven (7) calendar days prior to the proposal due date: [Division of Procurement Services RFP Page](#). It is the responsibility of all interested parties to go to this website to obtain a copy of the Question & Answer Summary. Only those answers issued in writing on this website will be considered binding.

B. Amendments

All amendments released in regard to the RFP will also be posted on the following website: [Division of Procurement Services RFP Page](#). It is the responsibility of all interested parties to go to this website to obtain amendments. Only those amendments posted on this website are considered binding.

C. Submitting the Proposal

1. **Proposals Due:** Proposals must be received no later than 11:59 p.m. local time, on the date listed on the cover page of the RFP. E-mails containing original proposal submissions, or any additional or revised proposal files, received after the 11:59 p.m. deadline will be rejected without exception.
2. **Delivery Instructions:** E-mail proposal submissions are to be submitted to the State of Maine Division of Procurement Services at Proposals@maine.gov.
 - a. Only proposal submissions received by e-mail will be considered. The Office assumes no liability for assuring accurate/complete e-mail transmission and receipt.
 - b. E-mails containing links to file sharing sites or online file repositories will not be accepted as submissions. Only e-mail proposal submissions that have the actual requested files attached will be accepted.
 - c. File size limits are 25MB per e-mail. Bidders may submit files separately across multiple e-mails, as necessary, due to file size concerns. All e-mails and files must be received by the due date and time listed above.
 - d. Bidders are to insert the following into the subject line of their e-mail proposal submission: **“RFP# 202009146 Proposal Submission – [Bidder’s Name]”**

- e. Bidder's proposal submissions are to be broken down into multiple files, with each file named as it is titled in bold below, and include:
- **File 1 [Bidder's Name] – Preliminary Information:**
PDF format preferred
Appendix A (Proposal Cover Page)
Appendix B (Debarment, Performance and Non-Collusion Certification)
All required eligibility documentation stated in PART IV, Section I
 - **File 2 [Bidder's Name] – Organization Qualifications and Experience:**
PDF format preferred
Appendix C (Organization Qualifications and Experience Form) and all required information and attachments stated in PART IV, Section II.
 - **File 3 [Bidder's Name] – Proposed Services:**
PDF format preferred
All required information and attachments stated in PART IV, Section III.
 - **File 4 [Bidder's Name] – Cost Proposal:**
PDF format preferred
Appendix D (Cost Proposal Form) and all required information and attachments stated in PART IV, Section IV

PART IV PROPOSAL SUBMISSION REQUIREMENTS

This section contains instructions for Bidders to use in preparing their proposals. The Office seeks detailed yet succinct responses that demonstrate the Bidder's qualifications, experience, and ability to perform the requirements specified throughout the RFP.

The Bidder's proposal must follow the outline used below, including the numbering, section, and sub-section headings. Failure to use the outline specified in PART IV, or failure to respond to all questions and instructions throughout the RFP, may result in the proposal being disqualified as non-responsive or receiving a reduced score. The Office, and its evaluation team, has sole discretion to determine whether a variance from the RFP specifications will result either in disqualification or reduction in scoring of a proposal. Rephrasing of the content provided in the RFP will, at best, be considered minimally responsive.

Bidders are not to provide additional attachments beyond those specified in the RFP for the purpose of extending their response. Additional materials not requested will not be considered part of the proposal and will not be evaluated. Include any forms provided in the submission package or reproduce those forms as closely as possible. All information must be presented in the same order and format as described in the RFP.

Proposal Format and Contents

Section I Preliminary Information (File #1)

1. Proposal Cover Page

Bidders must complete **Appendix A** (Proposal Cover Page). It is critical that the cover page show the specific information requested, including Bidder address(es) and other details listed. The Proposal Cover Page must be dated and signed by a person authorized to enter into contracts on behalf of the Bidder.

2. Debarment, Performance and Non-Collusion Certification

Bidders must complete **Appendix B** (Debarment, Performance and Non-Collusion Certification Form). The Debarment, Performance and Non-Collusion Certification Form must be dated and signed by a person authorized to enter into contracts on behalf of the Bidder.

Section II Organization Qualifications and Experience (File #2)

1. Overview of the Organization

Bidders must complete **Appendix C** (Qualifications and Experience Form) describing their qualifications and skills to provide the requested services in the RFP. Bidders must include three examples of projects which demonstrate their experience and expertise in performing these services as well as highlighting the Bidder's stated qualifications and skills.

2. Subcontractors

If subcontractors are to be used, Bidders must provide a list that specifies the name, address, phone number, contact person, and a brief description of the subcontractors' organizational capacity and qualifications.

3. Organizational Chart

Bidders must provide an organizational chart. The organization chart must include the project being proposed. Each position must be identified by position title and corresponding to the personnel job descriptions.

4. Litigation

Bidders must attach a list of all current litigation in which the Bidder is named and a list of all closed cases that have closed within the past five (5) years in which the Bidder paid the claimant either as part of a settlement or by decree. For each, list the entity bringing suit, the complaint, the accusation, amount, and outcome.

5. Licensure/Certification

Bidders must provide documentation of all applicable licensure/certification and specific credentials required to provide the proposed services of the RFP.

6. Certificate of Insurance

Bidders must provide a certificate of insurance on a standard Acord form (or the equivalent) evidencing the Bidder's general liability, professional liability and any other relevant liability insurance policies that might be associated with the proposed services.

7. Conflicts

List any potential conflicts of interest that could arise in proceedings where the Office takes a position in opposition to one or more of the water utilities operating in Maine.

Section III Proposed Services (File #3)

1. Services to be Provided

Discuss the Scope of Services referenced above in Part II of the RFP and what the Bidder will offer. Give particular attention to describing the methods and resources you will use and how you will accomplish the tasks involved. Also, describe how you will ensure expectations and/or desired outcomes as a result of these services will be achieved. If subcontractors are involved, clearly identify the work each will perform.

Section IV Cost Proposal (File #4)

1. General Instructions

- a. Bidders must submit a cost proposal that covers the period of the expected "Initial Period of Performance" dates stated in PART I, D.
- b. The cost proposal must include the costs necessary for the Bidder to fully comply with the contract terms, conditions, and RFP requirements.
- c. No costs related to the preparation of the proposal for the RFP, or to the negotiation of the contract with the Office, may be included in the proposal. Only costs to be incurred after the contract effective date that are specifically related to the implementation or operation of contracted services may be included.

2. Cost Proposal Form Instructions

Bidders must fill out **Appendix D** (Cost Proposal Form), following the instructions detailed here and in the form. Failure to provide the requested information, and to follow the

required cost proposal format provided, may result in the exclusion of the proposal from consideration, at the discretion of the Office.

PART V PROPOSAL EVALUATION AND SELECTION

Evaluation of the submitted proposals shall be accomplished as follows:

A. Evaluation Process - General Information

1. An evaluation team, composed of qualified reviewers, will judge the merits of the proposals received in accordance with the criteria defined in the RFP.
2. Officials responsible for making decisions on the award selection will ensure that the selection process accords equal opportunity and appropriate consideration to all who are capable of meeting the specifications. The goals of the evaluation process are to ensure fairness and objectivity in review of the proposals and to ensure that the contract is awarded to the Bidder whose proposal provides the best value to the State of Maine.
3. The Office reserves the right to communicate and/or schedule interviews/presentations with Bidders, if needed, to obtain clarification of information contained in the proposals received. The Office may revise the scores assigned in the initial evaluation to reflect those communications and/or interviews/presentations. Changes to proposals, including updating or adding information, will not be permitted during any interview/presentation process and, therefore, Bidders must submit proposals that present their rates and other requested information as clearly and completely as possible.

B. Scoring Weights and Process

1. **Scoring Weights:** The score will be based on a 100-point scale and will measure the degree to which each proposal meets the following criteria.

Section I. Organization Qualifications and Experience (40 points)

Includes all elements addressed above in Part IV, Section II.

Section II. Proposed Services (25 points)

Includes all elements addressed above in Part IV, Section III.

Section III. Cost Proposal (35 points)

Includes all elements addressed above in Part IV, Section IV.

2. **Scoring Process:** For proposals that demonstrate meeting the eligibility requirements in Section I, the evaluation team will use a consensus approach to evaluate and score Sections II & III above. Members of the evaluation team will not score those sections individually but, instead, will arrive at a consensus as to assignment of points for each of those sections. Section IV, the Cost Proposal, will be scored as described below.
3. **Scoring the Cost Proposal:** The total cost proposed for conducting all the functions specified in the RFP will be assigned a score according to a mathematical formula. The lowest bid will be awarded 35 points. Proposals with higher bids values will be awarded proportionately fewer points calculated in comparison with the lowest bid.

The scoring formula is:

$$(\text{Lowest submitted cost proposal} / \text{Cost of proposal being scored}) \times (35) = \text{pro-rated score}$$

No Best and Final Offers: The State of Maine will not seek or accept a best and final offer (BAFO) from any Bidder in this procurement process. All Bidders are expected to provide their best value pricing with the submission of their proposal.

4. **Negotiations:** The Office reserves the right to negotiate with the awarded Bidder to finalize a contract. Such negotiations may not significantly vary the content, nature or requirements of the proposal or the Office's Request for Proposal to an extent that may affect the price of goods or services requested. The Office reserves the right to terminate contract negotiations with an awarded Bidder who submits a proposed contract significantly different from the proposal they submitted in response to the advertised RFP. In the event that an acceptable contract cannot be negotiated with the highest ranked Bidder, the Office may withdraw its award and negotiate with the next-highest ranked Bidder, and so on, until an acceptable contract has been finalized. Alternatively, the Office may cancel the RFP, at its sole discretion.

C. Selection and Award

1. The final decision regarding the award of the contract will be made by representatives of the Office subject to approval by the State Procurement Review Committee.
2. Notification of conditional award selection or non-selection will be made in writing by the Office.
3. Issuance of the RFP in no way constitutes a commitment by the State of Maine to award a contract, to pay costs incurred in the preparation of a response to the RFP, or to pay costs incurred in procuring or contracting for services, supplies, physical space, personnel or any other costs incurred by the Bidder.
4. The Office reserves the right to reject any and all proposals or to make multiple awards.

D. Appeal of Contract Awards

Any person aggrieved by the award decision that results from the RFP may appeal the decision to the Director of the Bureau of General Services in the manner prescribed in [5 M.R.S.A. § 1825-E](#) and [18-554 Code of Maine Rules Chapter 120](#). The appeal must be in writing and filed with the Director of the Bureau of General Services, 9 State House Station, Augusta, Maine, 04333-0009 within 15 calendar days of receipt of notification of conditional contract award.

PART VI CONTRACT ADMINISTRATION AND CONDITIONS

A. Contract Document

1. The awarded Bidder will be required to execute a State of Maine Service Contract with appropriate riders as determined by the issuing office.

The complete set of standard State of Maine Service Contract documents, along with other forms and contract documents commonly used by the State, may be found on the Division of Procurement Services' website at the following link: [Division of Procurement Services Forms Page](#)

2. Allocation of funds is final upon successful negotiation and execution of the contract, subject to the review and approval of the State Procurement Review Committee. Contracts are not considered fully executed and valid until approved by the State Procurement Review Committee and funds are encumbered. No contract will be approved based on an RFP which has an effective date less than fourteen (14) calendar days after award notification to Bidders. (Referenced in the regulations of the Office of Administrative and Financial Services, [Chapter 110, § 3\(B\)\(i\).](#))

This provision means that a contract cannot be effective until at least 14 calendar days after award notification.

3. The State recognizes that the actual contract effective date depends upon completion of the RFP process, date of formal award notification, length of contract negotiation, and preparation and approval by the State Procurement Review Committee. Any appeals to the Office's award decision(s) may further postpone the actual contract effective date, depending upon the outcome. The contract effective date listed in the RFP may need to be adjusted, if necessary, to comply with mandated requirements.
4. In providing services and performing under the contract, the awarded Bidder must act as an independent contractor and not as an agent of the State of Maine.

B. Standard State Contract Provisions

1. Contract Administration

Following the award, a Contract Administrator from the Office will be appointed to assist with the development and administration of the contract and to act as administrator during the entire contract period. Office staff will be available after the award to consult with the awarded Bidder in the finalization of the contract.

2. Payments and Other Provisions

The State anticipates paying the Contractor on the basis of net 30 payment terms, upon the receipt of an accurate and acceptable invoice. An invoice will be considered accurate and acceptable if it contains a reference to the State of Maine contract number, contains correct pricing information relative to the contract, and provides any required supporting documents, as applicable, and any other specific and agreed-upon requirements listed within the contract that results from the RFP.

Vendor shall provide at least one month's notification to the Public Advocate by email and by U.S. Postal Service when the balance remaining on this contract goes below \$5,000.

PART VII LIST OF RFP APPENDICES AND RELATED DOCUMENTS

Appendix A – Proposal Cover Page

Appendix B – Debarment, Performance, and Non-Collusion Certification

Appendix C – Qualifications and Experience Form

Appendix D – Cost Proposal Form

Appendix E – Submitted Question Form

APPENDIX A

**State of Maine
Office of the Public Advocate
PROPOSAL COVER PAGE
RFP# 202009146**

**Water Case Consultant for Revenue Requirement Other than Rate of Return
for the Maine Public Advocate**

Bidder's Organization Name:			
Chief Executive - Name/Title:			
Tel:		E-mail:	
Headquarters Street Address:			
Headquarters City/State/Zip:			
<i>(Provide information requested below if different from above)</i>			
Lead Point of Contact for Proposal - Name/Title:			
Tel:		E-mail:	
Headquarters Street Address:			
Headquarters City/State/Zip:			

- This proposal and the pricing structure contained herein will remain firm for a period of 180 days from the date and time of the bid opening.
- No personnel currently employed by the Office of the Public Advocate or any other State agency participated, either directly or indirectly, in any activities relating to the preparation of the Bidder's proposal.
- No attempt has been made, or will be made, by the Bidder to induce any other person or firm to submit or not to submit a proposal.
- The above-named organization is the legal entity entering into the resulting agreement with the Office should they be awarded the contract.
- The undersigned is authorized to enter contractual obligations on behalf of the above-named organization.

To the best of my knowledge, all information provided in the enclosed proposal, both programmatic and financial, is complete and accurate at the time of submission.

Name (Print):	Title:
Authorized Signature:	Date:

APPENDIX B

**State of Maine
Office of the Public Advocate
DEBARMENT, PERFORMANCE and NON-COLLUSION CERTIFICATION
RFP# 202009146**

**Water Case Consultant for Revenue Requirement Other than Rate of Return
for the Maine Public Advocate**

Bidder's Organization Name:	
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*By signing this document, I certify to the best of my knowledge and belief that the
aforementioned organization, its principals and any subcontractors named in this proposal:*

- a. Are not presently debarred, suspended, proposed for debarment, and declared ineligible or voluntarily excluded from bidding or working on contracts issued by any governmental agency.*
- b. Have not within three years of submitting the proposal for this contract been convicted of or had a civil judgment rendered against them for:*
 - i. Fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a federal, state or local government transaction or contract.*
 - ii. Violating Federal or State antitrust statutes or committing embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;*
 - iii. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or Local) with commission of any of the offenses enumerated in paragraph (b) of this certification; and*
 - iv. Have not within a three (3) year period preceding this proposal had one or more federal, state or local government transactions terminated for cause or default.*
- c. Have not entered into a prior understanding, agreement, or connection with any corporation, firm, or person submitting a response for the same materials, supplies, equipment, or services and this proposal is in all respects fair and without collusion or fraud. The above-mentioned entities understand and agree that collusive bidding is a violation of state and federal law and can result in fines, prison sentences, and civil damage awards.*

Name (Print):	Title:
Authorized Signature:	Date:

APPENDIX C

**State of Maine
Office of the Public Advocate
QUALIFICATIONS & EXPERIENCE FORM
RFP# 202009146**

**Water Case Consultant for Revenue Requirement Other than Rate of Return
for the Maine Public Advocate**

Bidder's Organization Name:	
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Present a brief statement of qualifications. Describe the history of the Bidder's organization, especially regarding skills pertinent to the specific work required by the RFP and any special or unique characteristics of the organization which would make it especially qualified to perform the required work activities. You may expand this form and use additional pages to provide this information.

APPENDIX C (continued)

Provide a description of projects that occurred within the past five years which reflect experience and expertise needed in performing the functions described in the “Scope of Services” portion of the RFP. For each of the project examples provided, a contact person from the client organization involved should be listed, along with that person’s telephone number and e-mail address. Please note that contract history with the State of Maine, whether positive or negative, may be considered in rating proposals even if not provided by the Bidder.

If the Bidder has not provided similar services, note this, and describe experience with projects that highlight the Bidder’s general capabilities.

Project One	
Client Name:	
Client Contact Person:	
Telephone:	
E-Mail:	
Brief Description of Project	

Project Two	
Client Name:	
Client Contact Person:	
Telephone:	
E-Mail:	
Brief Description of Project	

APPENDIX C (continued)

Project Three	
Client Name:	
Client Contact Person:	
Telephone:	
E-Mail:	
Brief Description of Project	

APPENDIX D

**State of Maine
Office of the Public Advocate
COST PROPOSAL FORM
RFP# 202009146**

**Water Case Consultant for Revenue Requirement Other than for Rate of Return
for the Maine Public Advocate**

Bidder's Organization Name:	
Proposed Cost:	\$

Totals in the tables below shall be considered total costs to provide services as outlined in Part II of this RFP for all four (4) expected MWC water rate cases.

Staff Resources – Employees (Titles/Hourly Rates)	Projected Costs
Total Staff Costs	\$

Purchased Services – Non-Employees	Projected Costs
Consultants (including travel, etc.)	\$
Other (Describe)	\$
Total Purchased Services	\$

Non-Personal Service Items	Projected Costs
Supplies and Materials	\$
Employee Travel (include number of trips)	\$
Total Non-Personal Service Items	\$

Other Costs (attach list if necessary)	Projected Costs
Indirect Costs (Rate ____%)	\$

	Total Costs
Total Project Costs/Proposed Cost	\$

Subcontracting is limited to ____% of non-employee direct personal services and related incidental expenses, including travel.

APPENDIX E

State of Maine
Office of the Public Advocate
SUBMITTED QUESTIONS FORM
RFP# 202009146

Water Case Consultant for Revenue Requirement Other than Rate of Return
for the Maine Public Advocate

Organization Name:	
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RFP Section & Page Number	Question

** If a question is not related to any section of the RFP, state "N/A" under "RFP Section & Page Number".*

*** Add additional rows, if necessary.*